



IT'S TIME TO CONNECT FOR THE 2016 ULTIMUS CLIENT SUMMIT!

We are truly excited about this year's Client Summit theme of **Connect**. At Ultimus, we want to give our clients the resources to **connect** with others and grow in all areas of their business. The Client Summit also offers an ideal environment for fellow advisors, compliance officers, sales and marketing directors, operation managers and trustees to **connect** with other industry professionals, allowing for the exchange of ideas and innovation.

The event will be held at the Cincinnati Marriott at RiverCenter on the beautiful Ohio River across from downtown Cincinnati in Covington, Kentucky, offering impressive views of the Cincinnati skyline.

AGENDA

Wednesday, September 28th

Open House at Ultimus (optional)

Anytime between 10:30 - 3:30 p.m. Visit the Ultimus Fund Solutions headquarters for an *informal* Open House. Meet your team members, tour our office and enjoy lunch. After visiting our corporate office in Springdale, transportation will be provided to guests to the host hotel.

Evening Welcome Reception

5:00 - 5:30 p.m. Guests will arrive at the Marriott RiverCenter for event registration and then will proceed to the welcome reception via shuttle bus.

5:30 - 9:30 p.m. Join us for an exciting night at the [New Riff Distillery](#), located in Newport, Kentucky, as we host our Summit Welcome Reception, *sponsored by Envision Financial Systems*. You will enjoy casual fare, networking opportunities and a distillery tour, if interested, all while enjoying some Kentucky Bourbon or the beverage of your choice.



Thursday, September 29th

Let's **CONNECT** by participating in a day full of informative sessions.

Morning Session - *Sponsored by Thompson Hine*

7:30 - 8:30 a.m. Continental breakfast and general session opening

8:30 - 8:45 a.m. Welcome and update by Ultimus' Managing Directors

8:45 - 9:45 a.m. General session panel: *Distribution Gatekeepers*

10:00 - 11:00 a.m. Breakout sessions: *Liquidity & Derivatives, FinServ Meets FinTech Innovation and Ultimus CCO Due Diligence*

11:15 -12:15 p.m. Keynote speaker: **Coach Phillip Fulmer**, a powerful, inspirational and motivating speaker

Lunch - *Sponsored by Cohen Audit Services*

12:15 - 1:15 p.m. Lunch buffet

Afternoon Session - *Sponsored by Sullivan & Worcester*

1:30 - 2:30 p.m. Roundtable discussions:

- *Sales Reporting: Connecting with Your Buyers*
- *Entry to the Defined Contribution Marketplace: 401(k) Platforms and CITs*
- *Marketing and Website Tips*
- *Securities Lending*
- *Advisor Middle Office Challenges*
- *Trustees Discussion*

3:00 - 4:00 p.m. General session panel: *Real World Marketing: Real World Stories*

Evening Reception & Dinner

6:00 - 7:30 p.m. Networking reception, *sponsored by Filepoint*, held at [Carol Ann's Carousel](#), located in downtown Cincinnati, directly across the Ohio River from our host hotel. This elegant venue sits in the middle of brand new Smale Riverfront Park and anchors the magnificent riverfront redevelopment.

7:30 - 9:30 p.m. Dinner Along the River, *sponsored by BBD*, at [Anderson Pavilion](#), positioned just beneath the Carousel. Enjoy dinner and a panoramic view of the Ohio River looking towards Kentucky.



Friday, September 30th

A half day filled with more industry hot topics, concluding with a convenient lunch for you to take on the run or enjoy at the end of the Summit with newly formed connections.

Morning Session

8:30 - 9:00 a.m. Breakfast and general session opening

9:00 - 10:00 a.m. General session panel: *TA Rules, Distribution In Guise*

10:15 - 11:00 a.m. Breakout sessions: *Cyber Security, Trending Topics in the Compliance World, and*

Bridging the Tax Equation

11:00 - 12:00 p.m. General session panel: *Hot Trends & Products*

12:00 - 1:00 p.m. Box Lunch

*We look forward to CONNECTING with you on September 28th through September 30th
as we all learn new ways to reach our business goals.*

Sincerely,

Your 2016 Ultimus Summit CONNECTIONs

Todd Heim, Julie Meeker-Kishler, Stacey Havens, Lora Lindquist, Dave Carson

Connect with us on Twitter [@Ultimus2](#) and #UltConnect16

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